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5/15/02  
F. 1218  
B. 15-102

**PATENT**

I hereby certify that on March 13, 2002, which is the date I am signing this certificate, I am depositing this correspondence and all identified attachments with the U.S. Postal Service, first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

*Patty Rasmussen*  
Patty Rasmussen

Applicant: Brendon Stead, et al.  
Serial No.: 10/033,652  
Filed: December 27, 2001  
Title: Diaphragm Suspension Assembly for Loudspeaker Transducers  
Examiner: Unassigned  
Group Art Unit: 2837

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Commissioner for Patents  
Office of Initial Patent Examination's  
Customer Service Center  
Washington, D.C. 20231

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MAY - 9 2002  
TECHNOLOGY CENTER 2800

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Sir:

Applicant filed the above-mentioned application on December 27, 2001. The Filing Receipt was received in our office on February 4, 2002 (copy attached). However, we noted that there was an error in the "Domestic Priority data as claimed by application" section of the Filing Receipt. Please delete:

**THIS APPLN CLAIMS BENEFIT OF 60/279,314 03/27/01**

The present applications incorporates by reference the above cited application but there is no claim to priority.

Please forward a corrected filing receipt at your earliest convenience.

Respectfully submitted,

*Sung I. Oh*  
Sung I. Oh, Reg. No. 45,583  
Attorney for Applicant

**Squire, Sanders & Dempsey, LLP**  
801 South Figueroa, 14<sup>th</sup> Floor  
Los Angeles, CA 90017  
Telephone: (213) 689-5176  
Facsimile: (213) 623-4581

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/033,652	12/27/2001	2837	1100	45784-43	6	40	3

Squire, Sanders & Dempsey L.L.P.  
14th Floor  
801 S. Figueroa Street  
Los Angeles, CA 90017-5554

CONFIRMATION NO. 7966

## FILING RECEIPT



\*OC00000007379389\*

Date Mailed: 01/28/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Brendon Stead, Thousand Oaks, CA;  
Mark Trainer, Stevenson Ranch, CA;  
Clayton Williamson, Moorpark, CA;

## Domestic Priority data as claimed by applicant

~~THIS APPLN CLAIMS BENEFIT OF 60/279,314 03/27/2001~~RECEIVED  
FEB - 3 2002  
TECHNOLOGY CENTER 2600

## Foreign Applications

If Required, Foreign Filing License Granted 01/28/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

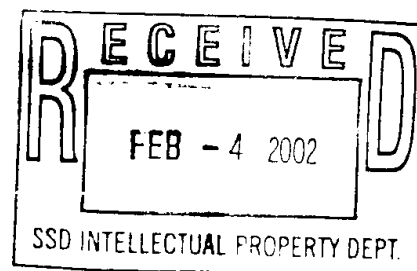
Early Publication Request: No

## Title

Diaphragm suspension assembly for loudspeaker transducers

## Preliminary Class

181



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

SQUIRE  
SANDERS  
AUSTIN LLP

San Francisco, California  
Los Angeles, California, and New York, New York

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Office of Initial Patent Examination's  
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Informational/Informational



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/033,652	12/27/2001	Brendon Stead	45784-43

CONFIRMATION NO. 7966

## FORMALITIES LETTER



\*OC000000007379390\*

Squire, Sanders & Dempsey L.L.P.  
14th Floor  
801 S Figueroa Street  
Los Angeles, CA 90017-5554

Date Mailed: 01/28/2002



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice MUST be returned with the reply.*

Hanna Lemay  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



MP #5  
PATENT

I hereby certify that on March 13, 2002, which is the date I am signing this certificate, I am depositing this correspondence and all identified attachments with the U.S. Postal Service, first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

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Group Art Unit: 2837

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Commissioner for Patents  
Box MISSING PARTS  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL  
APPLICATION DATED JANUARY 28, 2002**

Sir:

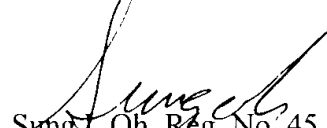
In response to the Notice to File Missing Parts of Nonprovisional Application mailed January 28, 2002 (copy attached), enclosed herewith are the following:

1. Declaration and Petition;
2. Power of Attorney;
3. A copy of the Assignment for recordation from Brendon Stead, Mark Trainer and Clayton Williams to Harman International Industries Incorporated is enclosed.  
The original is being sent under separate cover to the Assignment Branch for recording.
4. Check in the amount of \$130.00.

**The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1853 during the pendency of prosecution of this application. Should such additional fees be associated with an**

extension of time, applicant respectfully requests that this paper be considered a petition therefor. A duplicate of this paper is enclosed for the Deposit Account, should it be needed.

Respectfully submitted,



Sung I. Oh, Reg. No. 45,583  
Attorney for Applicant

**Squire, Sanders & Dempsey, LLP**  
801 South Figueroa, 14<sup>th</sup> Floor  
Los Angeles, CA 90017  
Telephone: (213) 689-5176  
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LosAngeles/74551.1